# **Tuesday Morning, July 23, 2019**

### Atomic Layer Etching Room Regency Ballroom A-C - Session ALE1-TuM

### ALE: Gas-phase and/or Thermal ALE

**Moderators:** Steven M. George, University of Colorado at Boulder, Venkateswara Pallem, AirLiquide

### 8:00am ALE1-TuM-1 Analyses of Hexafluoroacetylacetone (Hfac) Adsorbed on Transition Metal Surfaces, Tomoko Ito, K Karahashi, S Hamaguchi, Osaka University, Japan INVITED

Transition metals are known as hard-to-etch materials for reactive ion etching (RIE) processes. Although Ar milling processes are now widely used for such metal etching, physical sputtering processes with high energy ions have problems of low selectivity and poorly controlled etched profiles. Surface damages induced by energetic ion bombardment may also cause degradation of the material surface properties. Therefore alternative transition-metal etching processes with high selectivity and low damage, rather than physical sputtering, have been sought after in the industry. In recent years, atomic layer etching (ALE) by the formations of volatile organic metal complexes has attracted much attention as a means to achieve atomically controlled and low damage etching. ALE reactions may be considered as reverse reactions of atomic layer deposition (ALD). For example, metal beta-ketoenolate complexes are often used as precursors for ALD, so stable adsorption of beta-ketones on a metal surface and the formation of metal beta-ketoenolates thereon are crucial steps for the development of corresponding ALE processes. In this study, we have examined surface reactions of transition metals or their oxides with hexafluoroacetylacetone (hfac) [1] and demonstrated that such reactions can be used to develop ALE processes for some transition metals. Experiments were performed in what we call the "Atomic-Layer-Process (ALP) Surface Analysis System," which consists of ALP reaction chambers and an in-situ high-resolution X-ray photoelectron spectroscopy (XPS) system. In the reaction chamber, the substrate temperature can be controlled by a ceramic heater, which is installed on the back side of the sample. After exposure to reactive gases, the sample can be transferred from the reaction chamber to the XPS chamber without being exposed to ambient air for in- situ surface chemical analysis. Ion irradiation effects on an hfac adsorbed metal surface may be studied with the use of low energy Ar<sup>+</sup> ion beam of the XPS system. We used Ni and Co substrates in this study. It has been found that hfac molecules adsorbed on a metal oxide surface are less likely to be decomposed at room temperature than those on a metal surface and, at an elevated temperature, a metal oxide surface is preferentially etched by hfac than a metal surface.

[1] H. L. Nigg and R. I. Masel, J. Vac. Sci. Technol. A, 17, 3477 (1999).

### 8:30am ALE1-TuM-3 Thermal Atomic Layer Etching of Silicon Nitride using an Oxidation and "Conversion-Etch" Mechanism, Aziz Abdulagatov, S George, University of Colorado - Boulder

The thermal atomic layer etching (ALE) of silicon nitride was demonstrated using an oxidation and "conversion-etch" mechanism (see Supplemental Figure 1). In this process, the silicon nitride surface was oxidized to a silicon oxide layer using  $O_2$  or ozone. The silicon oxide layer was converted to an  $Al_2O_3$  layer using trimethylaluminum (TMA). The  $Al_2O_3$  layer was fluorinated by HF to an AlF<sub>3</sub> layer prior to the removal of the AlF<sub>3</sub> layer by ligand-exchange using TMA. Silicon nitride ALE was studied using Si<sub>3</sub>N<sub>4</sub> films deposited using low pressure chemical vapor deposition (LPCVD). In situ spectroscopic ellipsometry was employed to monitor the thickness of both the Si<sub>3</sub>N<sub>4</sub> and the silicon oxide layer during ALE. These studies observed that the silicon nitride film thickness decreased linearly with number of reaction cycles while the silicon oxide thickness remained constant.

Using an O<sub>2</sub>-HF-TMA reaction sequence, the Si<sub>3</sub>N<sub>4</sub> ALE etch rate was 0.26 Å/cycle at 290°C. This etch rate was obtained using static reactant pressures of 250, 0.65 and 1.0 Torr, and exposure times of 10, 5 and 5 s, for O<sub>2</sub>, HF and TMA, respectively. Employing similar dosing conditions, the process using O<sub>3</sub> yielded a higher Si<sub>3</sub>N<sub>4</sub> etch rate of 0.47 Å/cycle (see Supplemental Figure 2). The Si<sub>3</sub>N<sub>4</sub> etch rates remained the same for O<sub>3</sub> pressures from 30-250 Torr. The order of the reactant sequence affected the Si<sub>3</sub>N<sub>4</sub> etch rate. Changing the reactant sequence from O<sub>3</sub>-HF-TMA to O<sub>3</sub>-TMA-HF reduced the Si<sub>3</sub>N<sub>4</sub> etch rate from 0.47 to 0.20 Å/cycle at 290°C. The Si<sub>3</sub>N<sub>4</sub> ALE etch rate was also reduced at lower temperatures. Using the O<sub>3</sub>-HF-TMA reaction sequence, the Si<sub>3</sub>N<sub>4</sub> etch rate was reduced from 0.47 Å/cycle at 290°C to 0.07 Å/cycle at 210°C.

Si<sub>3</sub>N<sub>4</sub> ALE also decreased the roughness of the Si<sub>3</sub>N<sub>4</sub> surface. The RMS roughness of the initial Si<sub>3</sub>N<sub>4</sub> films was 4.7 Å measured using atomic force microscopy (AFM). The RMS roughness decreased to 3.1 Å after 80 ALE cycles. An SiO<sub>2</sub> oxide thickness of ~10-15 Å remained after Si<sub>3</sub>N<sub>4</sub> ALE at 290°C. This oxide could be removed by 15 sequential TMA and HF exposures after the Si<sub>3</sub>N<sub>4</sub> ALE. Thermal Si<sub>3</sub>N<sub>4</sub> ALE should be useful in advanced semiconductor fabrication. Thermal Si<sub>3</sub>N<sub>4</sub> ALE could also find applications in optoelectronics, photonics and MEMS fabrication.

### 8:45am ALE1-TuM-4 Thermal Dry Atomic Layer Etching of Cobalt with Sequential Exposure to Molecular Chlorine and Diketones, *M Konh, C He, X Lin,* University of Delaware; *X Guo, V Pallem,* American Air Liquide; *R Opila,* Andrew Teplyakov, *Z Wang, B Yuan,* University of Delaware

The mechanism of thermal dry etching of cobalt films is discussed for a thermal process and a sequential exposure to chlorine gas and a diketone (either 1,1,1,5,5,5-hexafluoro-2,4-pentanedione (hexafluoroacetylacetone, hfacH) or 2,4-pentanedione (acetylacetone, acacH)). The process can be optimized experimentally to approach atomic layer etching (ALE), and a sequential exposure to Cl<sub>2</sub> and hfacH dry etchants at 140°C is shown to proceed efficiently. The use of acacH as a diketone does not result in ALE with chlorine even at 180°C; however, the decrease of surface chlorine concentration and chemical reduction of cobalt is noted. Thermal desorption analysis suggests that the reaction of chlorinated cobalt surface exposed to the ambient conditions (oxidized) with hfacH does produce volatile Co-containing products within the desired temperature range and the products contain Co3+. The effect of ligands on the energy required to remove surface cobalt atoms is evaluated using density functional theory and the findings are consistent with the experimental observation of surface smoothing during atomic layer etching.

9:00am ALE1-TuM-5 Spontaneous Etching of  $B_2O_3$  and TiO<sub>2</sub> by HF: Removal Reaction in WO<sub>3</sub> ALE and TiN ALE, *Austin Cano*, University of Colorado - Boulder; *S Natarajan*, Tyndall National Institute, Ireland; *J Clancey*, University of Colorado - Boulder; *S Elliot*, Schrödinger Inc; *S George*, University of Colorado - Boulder

Thermal atomic layer etching is typically based on two sequential surface reactions. The first reaction activates the surface layer and the second reaction leads to material removal by the desorption of volatile etch products. The surface activation can be halogenation, conversion to a different material, or oxidation of the initial material. For example, BCl<sub>3</sub> is able to convert the WO<sub>3</sub> surface to a B<sub>2</sub>O<sub>3</sub> surface layer during WO<sub>3</sub> ALE. The B<sub>2</sub>O<sub>3</sub> surface layer is then spontaneously removed by etching using HF. In another example, O<sub>3</sub> is able to oxidize the TiN surface to a TiO<sub>2</sub> surface layer during TiN ALE. The TiO<sub>2</sub> surface layer is then spontaneously removed by etching using HF.

This study explored the spontaneous etching of  $B_2O_3$  and  $TiO_2$  with HF using Fourier Transform Infrared (FTIR) spectroscopy and quadrupole mass spectrometry (QMS) analysis. The initial  $B_2O_3$  films were grown using  $B_2O_3$ ALD with BCl<sub>3</sub> and  $H_2O$  as the reactants. The initial TiO<sub>2</sub> films were grown using TiO<sub>2</sub> ALD with TiCl<sub>4</sub> and  $H_2O$  as the reactants. FTIR measurements observed the growth of the  $B_2O_3$  films and TiO<sub>2</sub> films by monitoring the absorbance of the B-O and Ti-O stretching vibrations, respectively, versus number of ALD cycles. FTIR experiments also observed the spontaneous etching of  $B_2O_3$  and TiO<sub>2</sub> with HF by measuring the loss of the absorbance of the B-O and Ti-O stretching vibrations, respectively (See Supplemental Figure 1).

QMS studies were also able to monitor the volatile etch products during the spontaneous etching of B<sub>2</sub>O<sub>3</sub> nanopowder with HF. The expected reaction products are BF<sub>3</sub> and H<sub>2</sub>O based on the reaction B<sub>2</sub>O<sub>3</sub> + 6HF  $\rightarrow$  2BF<sub>3</sub> + 3H<sub>2</sub>O. In comparison, the QMS detected B(OH)F<sub>2</sub>, BF<sub>3</sub> and H<sub>2</sub>O as the main etch products (See Supplemental Figure 2). In addition, the QMS also revealed species at higher masses that were consistent with six-member ring species, such as B<sub>3</sub>O<sub>3</sub>F<sub>3</sub>.

The reaction of HF with  $B_2O_3$  and  $TiO_2$  was also examined using a density functional theory (DFT) based computational approach. By comparing the thermodynamic free energy profiles of competing self-limiting surface and bulk reactions, the DFT calculations predicted the spontaneous etching of  $B_2O_3$  by HF above -160°C and of TiO<sub>2</sub> (but not TiN) above 90°C, in agreement with the experimental findings.

# 9:15am ALE1-TuM-6 Thermal Based Atomic Layer Etching of Aluminum Oxide and Titanium Nitride, Varun Sharma, T Blomberg, M Tuominen, S Haukka, ASM, Finland

Thermal based Atomic Layer Etching (th-ALEt) has opened a new horizon and triggered an increased interest in the Semiconductor Industry for the

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fabrication of sub-10 nm as well as complex 3D nano-devices. In the th-ALEt technique, a material is chemically etched from thermally activated surface by sequence of one or more reactants each separated by purge steps. Unlike the conventional anisotropic plasma etching, th-ALEt is isotropic, selective and its slow etch rate may possess excellent atomicscale control. Most of the reported th-ALEt chemistries utilize hydrogen fluoride (HF from HF-pyridine) as one of the reactants. However, due to some safety concerns associated with the use and handling of HF, we have considered other fluorine donating compounds. In this work, we report niobium pentafluoride (NbF5) as an alternative to HF. Carbon tetrachloride  $(CCl_4)$  is used as a co-reactant with NbF<sub>5</sub> to etch aluminum oxide (Al<sub>2</sub>O<sub>3</sub>) as well as titanium nitride (TiN). The various attributes of the etching process like etch rates, selectivity and post-etch surface roughness were studied. It was found that NbF<sub>5</sub> promotes the fluorination of Al<sub>2</sub>O<sub>3</sub> and the fluorinated Al<sub>2</sub>O<sub>3</sub> surface can be etched away by a subsequent exposure of CCl<sub>4</sub> gas. TiN can be etched in continuous pulsed mode just by CCl<sub>4</sub>, while adding NbF<sub>5</sub> to the process enables etch-rate control. The etch results and proposed reaction pathway for the etching of Al<sub>2</sub>O<sub>3</sub> and TiN will be discussed in the presentation.

### 9:30am ALE1-TuM-7 Thermal Atomic Layer Etching of Amorphous and Crystalline Hafnium Oxide, Zirconium Oxide and Hafnium Zirconium Oxide, Jessica A. Murdzek, S George, University of Colorado - Boulder

Thermal atomic layer etching (ALE) can be achieved with sequential surface reactions using the fluorination and ligand-exchange mechanism. For metal oxide ALE, fluorination converts the metal oxide to a metal fluoride. The ligand-exchange reaction then removes the metal fluoride by forming volatile products. Previous studies have demonstrated the thermal ALE of amorphous HfO<sub>2</sub> and ZrO<sub>2</sub> ALD films. No previous investigations have explored the differences between the thermal ALE of amorphous films. The thermal ALE of crystalline films is important because amorphous films may not crystallize easily when they are too thin. Consequently, amorphous films may have to be grown thicker, crystallized, and then etched back to obtain the desired ultrathin crystalline film thickness.

This study explored the thermal ALE of amorphous and polycrystalline films of hafnium oxide, zirconium oxide, and hafnium zirconium oxide. HF was used as the fluorination reactant. Dimethylaluminum chloride (DMAC) or titanium tetrachloride was employed as the metal precursor for ligand-exchange. The amorphous films had a much higher etch rate per cycle than the crystalline films. The differences were most pronounced for hafnium oxide. At 250 °C with HF and DMAC as the reactants, the etch rate was 0.03-0.08 Å/cycle for crystalline HfO<sub>2</sub> and 0.68 Å/cycle for amorphous HfO<sub>2</sub> (See Supplemental Figure 1).

Under the same conditions at 250 °C with HF and DMAC as the reactants, the etch rate was 0.60-0.82 Å/cycle for crystalline  $ZrO_2$  and 1.11 Å/cycle for amorphous  $ZrO_2$ . In comparison, the etch rate was 0.16-0.26 Å/cycle for crystalline HfZrO<sub>4</sub> and 0.69 Å/cycle for amorphous HfZrO<sub>4</sub>. The etch rates for HfZrO<sub>4</sub> were between HfO<sub>2</sub> and  $ZrO_2$  for both the amorphous and crystalline films. When HF and TiCl<sub>4</sub> were used as the reactants at 250 °C, the etch rates were smaller than the etch rates also increased with temperature for both the amorphous and crystalline films. The differences between amorphous and crystalline HfO<sub>2</sub> are sufficient to obtain selective thermal ALE of amorphous HfO<sub>2</sub>.

### 9:45am ALE1-TuM-8 Isotropic Atomic Layer Etching of Cobalt with Smooth Etched Surfaces by using Cyclic Repetition of Plasma Oxidation and Organometallization, Sumiko Fujisaki, Hitachi R&D Group, Japan

Isotropic atomic layer etching (ALE), which produces atomically precise, conformal removal, will have an important role in semiconductor manufacturing. This is because highly selective ALE has become necessary to deal with processing of new materials with the advances in minitualization of devices such as 3D structures. In the past several years, isotropic ALE of various materials has been reported which includes thermal ALE for metal oxides and thermal-cyclic ALE for nitride films [1]. To meet the requirements concertning the variety of materials to be etched, isotropic ALE of cobalt must be developed. In 2018, thermal ALE of cobalt has been reported by using treatment with formic acid and ligands to produce volatile cobalt complexes [2]. In this paper, the authors successfully demonstrate isotropic ALE of cobalt film with smooth etched surfaces, which is important issue in the development of ALE of cobalt.

The experimental apparatus used in this study is 300-mm ALE tool equipped with inductively-coupled plasma source and infrared lamps. The cyclic ALE process is composed of three step repetitions: oxidation of

cobalt surface with oxygen plasma, organometallization of the cobalt oxide with a low acidity ligand vapor, and sublimation of the organemetallic cobalt by thermal annealing.

The etching depth of cobalt increased with increasing the number of repetitions of the cycle. For one cycle of etching, it was 1 nm high. The root-mean-square (RMS) roughness of etched cobalt surface was estimated to be 0.8 nm. It was found that formation of homogeneous CoO (II) was important because compound oxide such as  $Co_3O_4$  (II & III) resulted in rough etched surfaces with columnar morphology. Furthermore, controllability of etching amount was substantially improved by using low reactivity ligands compared to high-reactivity ligands. These results implied that the combination of homogenious CoO formation, low-reactivity ligands, and sublimation was essential for achieving smooth etched surfaces and excellent controllability of etching amount.

In conclusion, we have obtained well-controlled etch front roughness and etching depth of cobalt, which can be applied to semiconductor process, by controlling reactions of both oxidation and metal complex formation using the 300-mm ALE apparatus.

[1] K. Shinoda et al., J. Phys. D: Appl. Phys. 50, 194001 (2017).

[2] C. Winter, AVS 65th, PS+EM+TF-ThM5 (2018).

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